

ANTI-MONEY LAUNDERING POLICY

Money laundering is defined as moving money in various transactions in order to hide its source, its destination and who the original owner is. It involves conducting financial transactions with the use of illegal money and or making an illegal transaction appears legitimate.

All of the trading transactions of the Company shall be free from any form of money laundering. The Company operates with integrity and committed to implementing the measures established. The Client's failure to comply with the regulations set by the Company would mean termination of his/her account. If the Client is proven of committing money laundering, he/she is responsible for any damage or loss which may occur and the Company is excluded from his/her fraudulent actions.

In an effort to counter money laundering and other illegal activity, we have decided not to support any cash transactions, regardless of their stated purpose. Our firm has the right to cancel or deny a transaction at any point if there are suspicions regarding its legality.

General Measures

This AML Policy sets out the general measures which will apply to all clients such as:

- check the identity of the Client
- monitor any suspicious Client activities and/or transactions
- have a record of all the related information and/or documents of the Client's financial transactions

Depositing and Withdrawing

When depositing money, the sender's name and the present information of the Client should match.

Money transfers from another person or party are strictly prohibited. Furthermore, we will refuse any third party checks that have not been verified by a managing officer.

In case there is any discrepancy on a transaction, we reserve the right to automatically cancel or suspend the transaction. Further to this, third parties are forbidden to conduct the transaction in place of the Client.

Likewise, the information about the withdrawal recipient name and the account holder has to be the same. Withdrawal through the online payment system is allowed ONLY at the same account and/or wallet from where it was deposited.

These guidelines have been implemented to protect Theta-Holding and its clients.